



Atty. Docket No. 20811-0001-11

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN**

Applicant or Patentee: Samuil R. Umansky, Anatoly V. Lichtenstein and Hovsep S. Melkonyan

Application or Patent No.: 09/634,732

Filed or Issued: August 3, 2000

Title: METHODS FOR DETECTION OF NUCLEIC ACID SEQUENCES IN URINE

I hereby declare that I am:

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☒

the owner of the small business concern identified below:

an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: Diagen Corporation

Address of Small Business Concern: 6034 Monterey Avenue

Richmond, California 94805

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHODS FOR DETECTION OF NUCLEIC ACID SEQUENCES IN URINE by inventor(s) Samuil R. Umansky, Anatoly V. Lichtenstein and Hovsep S. Melkonyan described in:

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☒  
☐

the specification filed herewith.

Application No. 09/634,732, filed August 3, 2000

Patent No. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name  
Address

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Name  
Address

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Samuil R. Umansky

Title of Person if Other than Owner: President

Address of Person Signing: 6034 Monterey Avenue

Richmond, California 94805

Signature

Date

3/20/01



#3

## DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHODS FOR DETECTION OF NUCLEIC ACID SEQUENCES IN URINE** the specification of which \_\_\_\_ is attached hereto or X was filed on August 3, 2000 as Application No. 09/634,732 and was amended on \_\_\_\_\_ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## Prior Foreign Application(s)

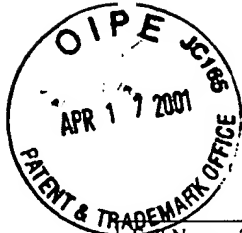
Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/048,170	May 30, 1997
60/048,381	June 3, 1997

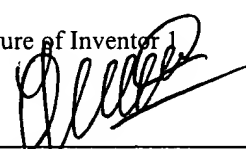
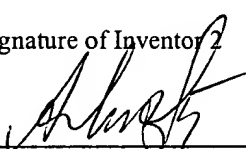
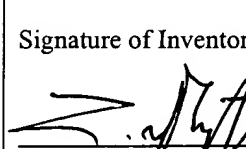
I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
PCT/US98/10965	May 29, 1998	abandoned
09/230,704	February 4, 2000	pending
09/609,162	July 3, 2000	pending



Full Name of Inventor 1:	Last Name: <b>UMANSKY</b>	First Name: <b>SAMUIL</b>	Middle Name or Initial: <b>R.</b>	
Residence & Citizenship:	City: <b>Richmond</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>Russia</b>	
Post Office Address:	Post Office Address: <b>6034 Monterey Avenue</b>	City: <b>Richmond</b>	State/Country: <b>California</b>	Postal Code: <b>94805</b>
Full Name of Inventor 2:	Last Name: <b>LICHTENSTEIN</b>	First Name: <b>ANATOLY</b>	Middle Name or Initial: <b>V.</b>	
Residence & Citizenship:	City: <b>Moscow</b>	State/Foreign Country: <b>Russia</b>	Country of Citizenship: <b>Russia</b>	
Post Office Address:	Post Office Address: <b>Kolomoneskiy Pr. 2-188</b>	City: <b>Moscow</b>	State/Country: <b>Russia</b>	Postal Code: <b>115446</b>
Full Name of Inventor 3:	Last Name: <b>MELKONYAN</b>	First Name: <b>HOVSEP</b>	Middle Name or Initial: <b>S.</b>	
Residence & Citizenship:	City: <b>Albany</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>Armenia</b>	
Post Office Address:	Post Office Address: <b>555 Pierce Street, #1041</b>	City: <b>Albany</b>	State/Country: <b>California</b>	Postal Code: <b>94706</b>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1 	Signature of Inventor 2 	Signature of Inventor 3 
Samuil R. Umansky	Anatoly V. Lichtenstein	Hovsep S. Melkonyan
Date 03/19/01	Date 02/19/2001	Date 03.19.01

Assignment

U.S. Patent Application no. 09/634,732

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IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated:

03/19/01

Samuil R. Umansky

STATE OF CALIFORNIA

COUNTY OF Alameda

On

3/19/2001

before me, HAROLD L. HOFFMAN

Samuil R. Umansky

personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



NOTARY PUBLIC

HAROLD L. HOFFMAN

My Commission Expires:

9-11-2004

Dated:

02/19/2001

Anatoly V. Lichtenstein

STATE OF CALIFORNIA

COUNTY OF

On

before me,

Anatoly V. Lichtenstein

personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires:

Dated: 03.19.01

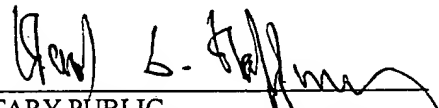
  
Hovsep S. Melkonyan

STATE OF CALIFORNIA )  
COUNTY OF Alameda ) ss.

On 3/19/2001, before me, HAROLD L. HOFFMAN, personally appeared Hovsep S. Melkonyan, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



  
NOTARY PUBLIC  
HAROLD L. HOFFMAN

My Commission Expires: 9-11-2004